



American Independence
FUNDS

Gift or Transfer of Shares Form

This form is to be used to make a gift or transfer of shares to an individual or a non-profit organization or for Re-registration (name change, divorce/separation, individual to trust, etc.). Please do not use this for an IRA.

Reason for Transfer

On October 3, 2008, the Emergency Economic Stabilization Act, HR1424, was signed into law, which included provisions from the Energy Improvement and Extension Act of 2008, requiring mutual funds to provide cost basis reporting to their customers.

To ensure accurate cost basis reporting, indicate the type of transfer requested and provide transfer details where applicable. If a reason is not provided, this transfer will be defaulted a transfer due to gift.

- Re-registration (name change, divorce/separation, individual to trust, etc.)
Death (inheritance)
Date of Death
Alternate Valuation Date or Alternate Value

- Gift
Date of Gift
Fair Market Value Acceptance (signature of new owner)

If the recipient's existing account or new account will use the Average Cost accounting method, they must sign above indicating acceptance of the shares valued at fair market value of the date of gift or settlement date if the shares should be transferred at a loss.

1 There is a special rule under the estate tax that allows the executor (the person in charge of the estate) to elect a different valuation date. If you select an Alternate Date of Value, please consult your tax advisor for more details.

Current Account Information

Fund Name Account Number

Name/Account Registration Social Security Number/ Taxpayer Identification Number

Contact Information:
Mailing Address:

City State Zip Code

Contact Phone Number/Extension Email Address



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Transfer Instructions

Transfer the amount indicated below: (check one box only)

- Transfer percentage of account: _____% (if total account, enter 100%)
- Transfer dollars: \$ _____
- Transfer shares: # _____

Transfer to: (check one box only)

- Existing Account. (Shares must be transferred within the same Fund.)
Recipient is not required to complete any parts of this form.

Name of Individual or Non-Profit Organization

Existing Account Number

- New Account. Please have new owner complete an American Independence Funds' New Account Application. The application is required for Anti-Money Laundering and Tax ID/Social Security Number compliance.

Cost Basis Information

The cost basis method that is currently on your account will be utilized to deplete the shares for this transaction unless you provide specific share lots or choose another cost basis method.

Date of Purchase __/__/____ Number of Shares _____

Date of Purchase __/__/____ Number of Shares _____

Date of Purchase __/__/____ Number of Shares _____

If you have shares transferred that were purchased prior to January 1, 2012 (non-covered shares), we may be able to provide you an average cost for these shares upon depletion. This information will be provided to you on your 1099-B (or a cost basis statement) and you may choose to use the information for your taxes. (This information will be noted as non-covered for the IRS if provided on 1099B.)

Please mail completed form to the following address:

American Independence Funds
P.O. Box 8045
Boston, MA 02266-8045

For additional information, please call American Independence Funds at **1-888-266-8787**.



SIGNATURES & AUTHORIZATION

In order to complete your request, the required number of authorized signers must sign below exactly as it appears on your account. A Medallion Signature Guarantee or Signature Verification Program (SVP) is required.

Account Owner(s)

By signing the below, the owner(s) of the above account authorizes the change of ownership/share transfer specified within this Form.

Signature of Account Owner

Date (MM/DD/YYYY)

Signature of Joint Account Owner (if applicable)

Date (MM/DD/YYYY)

Affix Medallion Signature Guarantee or Signature Verification Program (SVP) Here

NOTE: A medallion signature guarantee or signature verification program stamp may be obtained from a domestic bank or trust company, broker, dealer, clearing agency, savings association, or other financial institution which participates in a Medallion program recognized by the Securities Transfer Association.

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